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| TO ANOMITTAL E | Application No. | 08/936,708 | | | | |
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| TRANSMITTAL FORM | | Filing Date | September 24, | 1997 REC-11 | | |
| (to be used for all correspondence aft | er initial filing) | First Named Inventor | Jeffrey J. Claar | 1997 RECEIVED | | |
| | · | | | SEP 0 3 2004 | | |
| | | Examiner Name | Laura A. Grier | Technology Center 2600 | | |
| Total Number of Pages in This Submiss | ion 7 | Attorney Docket Number | 80398P109 | Lenter 2600 | | |
| ENCLO | SURES (chec | k all that apply) | | | | |
| Fee Transmittal Form | Drawing(s) |) | After Allow to Group | ance Communication | | |
| Fee Attached | Licensing- | related Papers | Appeal Color of Appeals | mmunication to Board and Interferences | | |
| Amendment / Response | Petition | | | mmunication to Group a, Brief, Reply Brief) | | |
| After Final Affidavits/declaration(s) | Petition to Provisional | Convert a I Application | Proprietary | Information | | |
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| Express Abandonment Request | Terminal C | Disclaimer | Other Enclo | osure(s) ntify below): | | |
| Information Disclosure Statement | Request fo | r Refund | 9 Prior Art | References | | |
| PTO/SB/08 | CD, Number | er of CD(s) | | | | |
| Certified Copy of Priority Document(s) | | | | | | |
| Response to Missing Parts/ Incomplete Application | Remarks | | | | | |
| Basic Filing Fee | | | | | | |
| Declaration/POA | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | |
| SIGNATUR | E OF APPLICA | NT, ATTORNEY, OR A | GENT | | | |
| Firm William W. Sc | haal, Reg. No. | 39,018 | | | | |
| or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP | | | | | | |
| Signature : | | | | | | |
| Date August 30, 2004 | | | | | | |
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| I hereby certify that this correspondence is be sufficient postage as first class mail in an en 22313-1450. | eing deposited wit velope addressed | th the United States Postato: Commissioner for Pate | Service on the date ents, P.O. Box 1450 | e shown below with , Alexandria, VA | | |
| Typed or printed name Susan McFa | arlane | | | | | |
| Signature | 1 Mom | de | Date Au | igust 30, 2004 | | |

SEP 0 1 2004

RANSMITTAL

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Application Number 08/936,708 Filing Date September 24, 1997 First Named Inventor Jeffrey J. Claar Examiner Name Laura A. Grier Art Unit 2644 Attorney Docket No. 80398P109

Date

Complete if Known

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| Deposit Account | | | 02-2666 | | | Code | (\$) | Code | (\$) | Fee | Descripe C | Joiogà | PALIF | Ree Faith |
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| Name | Blakel | у, 5ок | oloff, Taylo | r & Zaim | in LLP | 2053 | 130 | 2053 | 130 | Non-English specifica | ition | | | |
| The Commission | ner is aut | norized t | o: (check all that a | apply) | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for | | ation | | |
| Charge feet | (s) indicate | d below | ☐ Cn | edit any overpa | yments | 1804 | 920 * | 1804 | 920 1 | Requesting publication Examiner action | n of SIR prior to | | | |
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| to the above | e-identified | deposit a | ccount | | | 1251 | 110 | 2251 | 55 | Extension for reply wit | thin first month | | | |
| | F | EE C | ALCULATIO | N | | 1252 | 420 | 2252 | 210 | Extension for reply wit | thin second month | | | |
| 1. BAS | IC FILI | NG FE | E | | | 1253 | 950 | 2253 | 475 | Extension for reply wit | thin third month | | | |
| Large Entity | | D Entity | - | | | 1254 | 1,480 | 2254 | 740 | Extension for reply will | | | | |
| Fee Fee Code (\$) | Fee Code | Fee (\$) | Fee Description | | Fee Paid | 1255 | 2,010 | 2255 | 1,005 | Extension for reply wit | thin fifth month | | | |
| 1001 770 | 2001 | 385 | Utility filing fee | | | 1404 | 330 | 2401 | 165 | Notice of Appeal | | | | |
| 1002 340 | | 170 | Design filing fe | e | | 1402 | 330 | 2402 | 165 | Filing a brief in suppo | • • | | L | |
| 1003 530 | 2003 | 265 | Plant filing fee | | | 1403 | 290 | 2403 | 145 | Request for oral hear | - | | | |
| 1004 770 | 2004 | 385 | Reissue filing fo | ee | | 1451 | 1,510 | 2451 | 1,510 | Petition to institute a | • | ing | | |
| 1005 160 | 2005 | 80 | Provisional filin | g fee | | 1452 | 110 | 2452 | 55 | Petition to revive - un | | | _ | |
| | • | SUB | TOTAL (1) | (\$) | | 1453 | 1,330 | 2453 | 665 | Petition to revive - un | | | _ | |
| | | | | | | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or rei | issue) | | - | |
| 2. EXT | RA CLA | AIM FE | ES Extra | Fee from | Fee Paid | 1502 | 480 | 2502 | 240 | Design issue fee | | | - | |
| Total Claims | | ٠ | Claims | below = | : reerau | 1503 | 640 | 2503 2460 | 320 | Plant issue fee | nionionos | | \vdash | |
| Independent | | - 26 | = X | <u> </u> | | 1460 1807 | 130 50 | 1807 | 130 50 | Petitions to the Comm Processing fee under | | | <u> </u> | |
| Claims Multiple Depende | ent | J 4 | ^ | <u>.</u> | | 1806 | 180 | 1806 | 180 | Submission of Inform | | mt | - | |
| Large Entity | | Entity | | | | 8021 | 40 | 8021 | 40 | Recording each pater | | | - | |
| Fee Fee | Fee | Fee | Fee Description | | | 0021 | | 002 | | property (times numb | | | | |
| Code (5) | Code | (\$) | | | | 1809 | 770 | 1809 | 385 | | ter final rejection | | | |
| 1202 18 | 2202 | 9 | Claims in excess | of 20 | | | | | | (37 CFR § 1.129(a)) | | | L | |
| 1201 86 | 2201 | 43 | Independent clair | | | 1810 | 770 | 2810 | 385 | For each additional in examined (37 CFR § | | | | |
| 1203 290 | 2203 | 145 | Multiple Depende | | • | 1801 | 770 | 2801 | 385 | Request for Continue | d Examination (RC | E) | ⊢ | ———[ˈ |
| 1204 86 | 2204 | 43 | **Reissue indepe patent | ingent claims o\ | ver onginai | 1802 | 900 | 1802 | 900 | Request for expedited | | | - | |
| 1205 18 | 2205 | 9 | **Reissue claims | | and over | | e (specify) | I | | of a design application | 1 | | - | |
| | ı | | original patent | t | | | | | | | | | | |
| | | SUB | TOTAL (2) | (\$) | | • Book room | by Basic Film | n Enn Dai | d | | CHURTOTAL (2) | (6) | | |
| **or n | **or number previously paid, if greater, For Reissues, see below | | | | elow | T COURT | Uy Dasic Fill | 9.00.0 | | · | SUBTOTAL (3) | (\$) | | |
| SUBMIT | TED B | / | | | | | | | | | Comp | lete (if ap | plicable | e) |
| Name (Print | | | am W Scha | rai 💮 | / / | | egistratio tomey/Age | | 3 | 39,018 | Telephone | (714) | | |
| Signature | | 6 | 11/- | - /// | | | | | | | Date | 0 | 8/30/0 | 04 |

Based on PTO/SB/17 (10-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 02/10/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Signature

OTP E 12004

FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

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Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known

Application Number 08/936,708

Filing Date September 24, 1997

First Named Inventor Jeffrey J. Claar

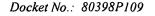
Examiner Name Laura A. Grier

Art Unit 2644

Attorney Docket No. 80398P109

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|---|---|---------------|-----------|-----------|--|------------|
| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) 3. ADDITIONAL FEES | | | | | - / |
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| Deposit Account | Large | Entity | Sma | II Entity | SEP 0 | 3 2004 |
| | Fee | Fee | Fee | Fee | | |
| Deposit Account 02-2666 | Code | (\$) | Code | (\$) | Fee Description echnology | Cefferit |
| Number 02-2000 | 1051 | 130 | 2051 | 65 | | 91110 |
| Deposit | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Account Name Blakely, Sokoloff, Taylor & Zafman LLP | 2053 | 130 | 2053 | 130 | Non-English specification | |
| The Commissioner is authorized to: (check all that apply) | 1812 | 2,520 | 1 | 2,520 | For filling a request for ex parte reexamination | |
| ★ Charge fee(s) indicated below | 1804 | 920* | 1804 | 920 | Requesting publication of SIR prior to | |
| — Charactery and different feed (a) as undergramment of feed as an arguing under 27 | | | | | Examiner action | |
| CFR §§ 1.16, 1.17, 1.18 and 1.20. | 1805 | 1,840 * | 1805 | 1,840 | * Requesting publication of SIR after Examiner action | |
| Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account | 4054 | 110 | 0054 | 55 | Extension for reply within first month | |
| | 1251 | | 2251 | | Extension for reply within second month | |
| FEE CALCULATION | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1. BASIC FILING FEE | 1253 | 950 | 2253 | 475 | | <u> </u> |
| Large Entity Small Entity Fee Fee Fee Fee Pescription Fee Paid | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1001 770 2001 385 Utility filing fee | 1404 | 330 | 2401 | 165 | Notice of Appeal | |
| 1002 340 2002 170 Design filling fee | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1003 530 2003 265 Plant filing fee | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1004 770 2004 385 Reissue filing fee | 1451 | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding | |
| 1005 160 2005 80 Provisional filing fee | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| SUBTOTAL (1) (\$) | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 2. EXTRA CLAIM FEES Extra Fee from | 1502 | 480 | 2502 | 240 | Design issue fee | |
| 2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| Total Claims - 26 = X | 1460 | 130 | 2460 | 130 | Petitions to the Commissioner | |
| Independent A = X = X | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Multiple Dependent = | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | - |
| Large Entity Small Entity | 8021 | 40 | 8021 | 40 | Recording each patent assignment per | <u> </u> |
| Fee Fee Fee Fee Fee Description | | - | | - | property (times number of properties) | |
| Code (5) Code (5) | 1809 | 770 | 1809 | 385 | | |
| 1202 18 2202 9 Claims in excess of 20 | A | | | | (37 CFR § 1.129(a)) | |
| 1201 86 2201 43 Independent claims in excess of 3 | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1203 290 2203 145 Multiple Dependent claim, if not paid | 4004 | 770 | 2004 | 205 | Request for Continued Examination (RCE) | |
| 1204 86 2204 43 **Reissue independent claims over original | 1801 | 770 | 2801 | 385 | | |
| patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| 1205 18 2205 9 **Reissue claims in excess of 20 and over original patent | Other fe | e (specify) | | | | |
| · · · · · · · · · · · · · · · · · · · | | | | | | لــــــــا |
| SUBTOTAL (2) | *Reduced | by Basic Film | g Fee Pai | d | SUBTOTAL (3) (\$) | |
| **or number previously paid, if greater, For Reissues, see below | <u> </u> | | | | | |
| SUBMITTED BY | | | | | Complete (if applic | able) |
| Name (Print/Type) William W Songal | Re | egistratio | on No. | - | 39 018 Telephone (714) 55 | 7-3800 |

| SUBMITTE | D BY | | | Comp | lete (if applicable) |
|----------------|-------------------|-----------------------------------|--------|-----------|----------------------|
| Name (Print/Ty | william W. Sehaal | Registration No. (Attorney/Agent) | 39,018 | Telephone | (714) 557-3800 |
| Signature | W-/W// | | | Date | 08/30/04 |





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

JEFFREY J. CLAAR, ET AL.

Application No.: 08/936,708

Filed: September 24, 1997

For: Method and Apparatus for Providing a

Graphical User Interface for a

Player/Recorder System

Art Group: 2644

Examiner: Laura A. Grier

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SEP 0 3 2004

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated May 4, 2004 (copy enclosed herewith) in a counterpart French application, which was forwarded to Applicant's Representative in a communication dated June 14, 2004.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: August 30, 2004

William W. Schaal, Reg. No. 39,018

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Susan McFarlane

Date

08-30-04

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TINFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet of 1

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| Application Number | 08/936,708 |
| Filing Date | September 24, 1997 |
| First Named Inventor | Jeffrey J. Claar |
| Art Unit | 2644 |
| Examiner Name | Laura A. Grier |
| Attorney Docket Number | 80398P109 |

| | | | U.S. PATE | ENT DOCUMENTS | |
|-----------------------|--------------|--------------------------------|--------------------------|--|---|
| | | Document Number | Publication Date | | Pages, Columns, Lines, Where |
| Examiner Initials* | Cite No.' | Number - Kind Code² (if known) | Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Relevant Passages or Relevant Figures Appear |
| | | US-4,734,791 | 03-29-1988 | Stearns, et al. | CEIVED |
| | | US-5,467,288 | 11-14-1995 | Fasciano, et al. | |
| | | US-5,487,067 | 01-23-1996 | Matsushige SEF | 0 3 2004 |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | | | |
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| Examiner Cite | Cite | Foreign Patent Document | | | Pages, Columns, Lines, | 76 | | | | |
| Initials* | No.1 | Country Code³ - Number⁴ - Kind Code⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Where Relevant Passages or Relevant Figures Appear | | | | | |
| | | WO 88/02958 | 04-21-1988 | David Burton | | | | | | |
| | | GB 2 096 868 A | 10-20-1982 | Ampex Corp. | | | | | | |
| | | GB 2 302 742 A | 01-29-1997 | Sony | | L. | | | | |
| | ļ | GB 2 323 738 A | 09-30-1998 | Philips Electronics | | ļ | | | | |
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). ³See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

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Sheet

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

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Complete if Known Application Number 08/936,708 September 24, 1997 Filing Date First Named Inventor Jeffrey J. Claar Art Unit 2644 Laura A. Grier Jechnology Center 2600 Examiner Name Attorney Docket Number 80398P109

| | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------|--|----|
| Examiner Initials* | Cite No.¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| | | SCHWARTZ, D.M., Specifications and Implementation of a Computer Augio Console for Digital Mixing and Recording" Specifications and Implementations of a Computer Audio console for Gigital Mixing and Recording, 10/1984, pg 1-14 XP000795030. | |
| | | BORISH, J. ET AL., "Sounddroid: A New Approach to Digital Editing and Mixing of Sound", British Kinematography Sound and Television Society Journal, British Kinematograph Sound and Television Society, London, GB, vol. 67, no. 11, 11/1985, pages 616-621, XP000794994. | |
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| Examiner | Date | |
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| Signature | Considered | |

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include

^{&#}x27;Applicant's unique citation designation number. 3Applicant is to place a check mark here if English language Translation is attached.